## **DRAFT**

## American Recovery and Reinvestment Act of 2009 – Certification Statement: 3/19/09 (Prepared by SWRPA)

Pursuant to Title XV, Subtitle A, section 1511 of the American Recovery and Reinvestment Act (Pub. L. 111-5 (Feb. 17, 2009) ("ARRA"), I \_\_\_\_\_\_\_\_ hereby certify that the infrastructure project funded by ARRA has received the full review and vetting required by law and that I accept responsibility that such investment is an appropriate use of taxpayer dollars. I further certify that the specific information required by section 1511 concerning each such investment (a description of the investment, the estimated total cost, and the amount of ARRA funds to be used) is attached and is available to the public at <a href="www.westportct.gov">www.westportct.gov</a> and linked to Recovery.gov.

| Project<br>Name                             | Description of Investment   | Total<br>Estimated Cost | Amount of<br>ARRA Funds |
|---|---|-------------------------|-------------------------|
| 2009 Westport,<br>CT ARRA<br>Paving Program | Pavement preservation on Arterial and collector roads throughout the Town of Westport | \$996,189.91            | AMMITURUS               |
|   |   |                         |                         |

Website Certification is to be posted on: www.westportct.gov

| Signature:    | 4 Julit              |
|---------------|----------------------|
| Title:        | First Selectman      |
| Organization: | Town of Westport, CT |

Date: 3/24/09

# South Western Region Metropolitan Planning Organization - Additional Requirements:

- Stimulus Application Form (under construction)
- ConnDOT Capital Project/Program Description Sheet
- Certification Statement by Chief Executive

### **Descriptions of Certification Terms:**

Covered Funds: The term "covered funds" means any funds that are expended or obligated from appropriations made under this Act.

Description of the Investment: The project information required to appear on the Statewide Transportation Improvement Plan (STIP)

Full Review and Vetting: Unclear – we are seeking guidance from federal officials to determine the meaning of this term.

### Citation - Section 1511, American Recovery and Reinvestment Act

# Subtitle A—Transparency and Oversight Requirements

#### SEC. 1511. CERTIFICATIONS.

With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted.

# Rep. James L. Oberstar, Chairman of the U.S. House of Representatives Committee on Transportation and Infrastructure, Transmittal to MPO:



MAR - 4 7009

# **U.S.** House of Representatives

### Committee on Transportation and Infrastructure

James L. Sherstar Chairman Washington, DC 20515

John L. Mica Ranking Republican Member

David Heymsfeld, Chief of Staff and W. McCarragher, Chief Coursel

February 27, 2009

James W. Coon Li, Republican Chief of Staff

Floyd Lapp
Executive Director
South Western Region MPO
South Western Regional Planning Agency
Government Center, 3rd Floor
888 Washington Boulevard
Stamford, CT 06904-2152

Dear Mr. Lapp:

On February 17, 2009, President Barack Obama signed into law the American Recovery and Reinvestment Act of 2009 (P.L. 111-5) ("Recovery Act") to create and sustain family-wage jobs, help the United States recover from the worst recession since the Great Depression, and invest in transportation, environmental, and other infrastructure that will provide long-term economic benefits to the nation. The Recovery Act provides \$64.1 billion of infrastructure investment authorized by the Committee on Transportation and Infrastructure to enhance the safety, security, and efficiency of our highway, transit, rail, aviation, environmental, inland waterways, public buildings, and maritime transportation infrastructure.

This investment includes \$27:5 billion of Federal-aid highway funding under the jurisdiction of this Committee that is distributed directly to States and large Metropolitan Planning Organizations (MPOs) by existing statutory formulas. These funds will enable States and MPOs to proceed immediately with "quick-hit", ready-to-go construction projects. In addition, the Recovery Act includes specific "use-it-or-lose-it" deadlines by which States and MPOs must invest transportation and infrastructure funding provided under the Act.

The Committee on Transportation and Infrastructure will closely oversee the implementation of transportation and infrastructure provisions of the Recovery Act to ensure that the funds provided are invested quickly, efficiently, and in harmony with the job-creating purposes of this Act. To this end, we request that you provide the specific certification and transparency and accountability information discussed below within 45 days of the date of enactment of the Recovery Act (April 4, 2009).

Please provide the certification and transparency and accountability information on the "Federal Highway Administration, Highway Infrastructure Investment" funding under the Recovery Act which is suballocated to MPOs pursuant to Section 133 of Title 23, United States Code.

These requests go beyond the transparency and accountability requirements of the Recovery Act. The Recovery Act certification and transparency and accountability provisions do not specifically require MPOs to provide this information within 45 days of the date of enactment.

However, the certification and information that we are requesting are critical to the Committee's review of implementation of the Recovery Act. Furthermore, Chairman James L. Oberstar has repeatedly described and insisted upon these transparency and accountability provisions in Committee hearings (October 29, 2008, and January 22, 2009), speeches, and conference calls, and posted these requirements on our Committee website. These requirements should come as no surprise to any practitioner of infrastructure investments. We expect you to provide the requested certification and transparency and accountability information by April 4, 2009.

#### **CERTIFICATION**

Please provide by April 4, 2009, a copy of the certification required by Section 1511 of the Recovery Act. The Recovery Act requires the Governor, mayor, or other chief executive to certify that the infrastructure investment has received the full review and vetting required by law and the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.<sup>2</sup>

#### TRANSPARENCY AND ACCOUNTABILITY INFORMATION

For amounts suballocated to MPOs under the Highway Infrastructure Investment funding,<sup>3</sup> please provide by April 4, 2009, the following information required by the Recovery Act.<sup>4</sup>

- the amount of Federal funds allocated or apportioned to your Metropolitan Planning Organization by the State and the amount of Federal funds obligated and outlayed;
- a detailed list of all projects or activities for which Recovery Act funds were obligated and the purpose, total cost, and rationale for funding the infrastructure investment; 6

<sup>&</sup>lt;sup>1</sup> American Recovery and Investment Act of 2009, Pub. L. No. 111-5, Title XII (2009).

<sup>&</sup>lt;sup>2</sup> Id. § 1511. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the Recovery gov website. Id. 3 Id. Tale, VII.

<sup>&</sup>lt;sup>4</sup> Although the Recovery Act does not specifically require that MPOs provide this information within 45 days of the date of enactment, the Committee expects each MPO to provide the requested information.

<sup>&</sup>lt;sup>5</sup> Id. § 1201(b)(2)(A).

<sup>6</sup> Id. § 1512(c)(3).

- the number of projects that have been put out to hid under the appropriation and the amount of Federal funds associated with such projects;<sup>7</sup>
- the number of projects for which contracts have been awarded and the amount of Federal funds associated with such projects; 8
- the number of projects for which work has begun under such contracts and the amount of Federal funds associated with such contracts;
- the number of projects for which work has been completed under such contracts and the amount of Federal funds associated with such contracts;
- the number of direct, on-project jobs created or sustained by the Federal funds provided under the appropriation and, to the extent possible, the estimated indirect jobs created or sustained in the associated supplying industries, including the number of job-years created and the total increase in employment since the date of enactment; 11 and
- information tracking the actual aggregate expenditures by each grant recipient from State sources for projects eligible for funding under the program during the period from the date of enactment through September 30, 2010, compared to the level of expenditures that were planned to occur during such period as of the date of enactment. 12

Please provide the certification and transparency and accountability information electronically and in a format that meets the Obama administration's requirements for Section 1201 and Title XV of the Recovery Act. Please work with the Office of Management and Budget and the U.S. Department of Transportation to address any questions regarding the format for the certifications and other information to ensure consistency among reporting MPOs.

In April 2009, the Committee will hold the first of a series of oversight hearings on implementation of the Recovery Act. We believe that the Recovery Act provides a unique opportunity to showcase the ability of transportation and infrastructure investments to create and sustain family-wage jobs and provide long-term economic benefits to the nation. We also know that every MPO partner welcomes the opportunity to demonstrate to its userpublic that you can deliver these projects and create urgently needed employment in the timeframes set forth in this Act.

<sup>7</sup> Id. § 1201(b)(2)(B).

<sup>8</sup> Id. § 1201(b)(2)(C).

<sup>9</sup> Id. § 1201(b)(2)(D).

<sup>10</sup> Id. § 1201(b)(2)(E).

<sup>11</sup> Id. § 1201(b)(2)(F).

<sup>12</sup> Id. § 1201(b)(2)(G)

If you have any questions regarding this request, please have your staff contact Joseph Wender, Counsel of the Committee on Transportation and Infrastructure, at (202) 225-4472 or Joseph. Wender@mail.house.gov.

Thank you for your consideration.

Sincerely,

mes L. Oberstar, M.C.

Chairman

Peter A. DeFazio, M.C.

Chairman

Subcommittee on Highways

and Transit